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| SHAH, PARAS D |

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ADIPFDD@bipc.com

| | Application No. | Applicant(s) |
| **Office Action Summary** | 10/721,271 | OH ET AL. |
| | Examiner | Art Unit | |
| | PARAS SHAH | 2626 | |

*-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --*

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1)☒ Responsive to communication(s) filed on <u>21 January 2009</u>.

2a)☒ This action is **FINAL**.          2b)☐ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4)☒ Claim(s) <u>1-33</u> is/are pending in the application.

   4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) <u>1-15 and 18-31</u> is/are rejected.

7)☒ Claim(s) <u>16,17,32 and 33</u> is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

**Application Papers**

9)☐ The specification is objected to by the Examiner.

10)☐ The drawing(s) filed on _ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

   Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

   Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   a)☐ All   b)☐ Some * c)☐ None of:

   1.☐ Certified copies of the priority documents have been received.

   2.☐ Certified copies of the priority documents have been received in Application No. _____.

   3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

   * See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1) ☐ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
   Paper No(s)/Mail Date _____.

4) ☐ Interview Summary (PTO-413)
   Paper No(s)/Mail Date. _____ .
5) ☐ Notice of Informal Patent Application
6) ☐ Other: _____.

## DETAILED ACTION

1.      This communication is in response to the Arguments filed on 02/25/2009. Claims

1-33 remain pending and have been examined. The Applicants' amendment and

remarks have been carefully considered, but they are not persuasive and do not place

the claims in condition for allowance. Accordingly, this Action has been made FINAL.

2.      All previous objections and rejections directed to the Applicant's disclosure and

claims not discussed in this Office Action have been withdrawn by the Examiner.

### *Response to Arguments*

3.      Applicant's arguments filed on 01/21/2009 (pages 2-9) have been fully

considered but they are not persuasive for the reasons discussed below.

        In response to the Applicant's first argument, regarding the Applicant providing

explicit definition for the term "runs" and cites paragraph [0037] of the published

specification showing Nagasaki not teaching such "runs", the Examiner respectfully

disagrees.  The definition of the term "run" as provided by the Applicant defines it to

mean a sub-sequence of consecutive identical items in a sequence, where the sub-

sequence can be or more as is well known in the art. The examples provided by the

Applicant are not explicit since they are mere examples of the defined term "run".

Nagasaki does teach determination of a number of runs, where in Nagasaki col. 7, lines

10-16, such runs comprise energy values for an analysis region in a frame (4 values are

determined for the frame, which are sequential), where values over 1000 by themselves

represent voice and below 1000 represents noise (where the consecutive identical

elements is if it is greater is less than a 1000, individually). Thus, Nagasaki is consistent

with the definition provided by the Applicant in the Specification.

In response to the Applicant's second argument, regarding speech not being

random when it is not known, the Examiner respectfully disagrees. The

absence/presence of speech based on calculation of energy, with the use of a

threshold, does make the decision random since depending on the energy calculation

there is specific probability such signal is speech or not. The determination of future

frames of whether speech is present using the prior values, then speech is considered

not to be random since past information is taken into account. However, in the case of

Nagasaki, such determination does not rely on previous speech determination but

rather on energy values for the specific frame as described in col. 7, lines 5-15).

In response to the Applicant's third argument, regarding the combination of

Nagasaki and Kushner would be redundant and inoperative in the case, where both

determine the presence of speech, the Examiner respectfully disagrees. Kushner

describes in col. 4, lines 29-37 a speech/noise classifier for determining speech or noise

for each frame. The incorporation of the tertiary reference of Nagasaki modifies the

primary reference of Kushner, by classifying such frames using a different methodology

described in col. 7, lines 5-16. In the cited section of Nagasaki, random parameters

(energy values) are calculated for each analysis region, which indicate the presence or

absence of speech for that specific time instance. Thus, the averaged energy value is

the random parameter of the series of energy values calculated that allows for the

determination of noise or speech. Thus, Kushner's frame state determination modified

by the use of the speech presence as taught by Nagasaki above would not be

redundant or inoperable but rather further modify the frame state determination of

Kushner with the reliance of the average energy being compared to a threshold for that

frame.

In response to the Applicant's fourth argument, regarding their not being a

relationship between Nagasaki and the random parameter extraction unit and the frame

state determination unit and the voice region detection unit, the Examiner respectfully

disagrees for the reasons discussed in the prior paragraph showing the relationship

between the primary reference of Kushner and the tertiary reference of Nagasaki.

Further, a relationship exists for the random parameter receiving frames from the

whitening unit. In Nagasaki col. 5, lines 5, it is described that the speech signal is

contaminated or contains noise along with the speech signal. Hence, the use of a

whitened signal would have been obvious in order to mimic a real-time environment,

where the secondary reference of Durlach has been incorporated for the addition of

noise to primary reference of Kushner. Hence, there are relationships present for each

of the claimed elements between the primary, secondary, and tertiary references.

In response to the Applicant's fifth argument, regarding the Kushner and Durlach

references not able to be properly combined since Durlach disclosed directional

information using a diversified microphone system, the Examiner respectfully disagrees

with this assertion. The test for obviousness is not whether the features of a secondary

reference may be bodily incorporated into the structure of the primary reference; nor is it

that the claimed invention must be expressly suggested in any one or all of the

references.  Rather, the test is what the combined teachings of the references would have suggested to those of ordinary skill in the art.  See In re Keller, 642 F.2d 413, 208 USPQ 871 (CCPA 1981). The teaching relied on for the secondary reference, namely Durlach is the addition of noise to a signal source (see col. 5, lines 56-65). Hence, this teaching modifies the primary reference (Kushner) in view of Durlach where white noise is added to the input frames as cited in Kushner col. 4, lines 6-7. Furthermore, in col. 3, lines 33-37, Kushner teaches using multiple microphones that may be present. Hence, the combination of Kushner in view of Durlach in view of Nagasaki would have been combinable using the known methods as stated above to obtain predictable results. Hence, since the knowledge was taken into account within the level of one of ordinary skilled in the art at the time of the claimed, such a reconstruction is proper, where motivation for combining Kushner in view Durlach is found from the secondary reference, col. 5, lines 61-62 and col. 1, lines 10-14 for adding noise that normally occurs in speech recognition, specifically as a result of directional information, which simulates environmental conditions as needed.

Hence, all rejections are maintained as per the previous Office Action.

## Claim Rejections - 35 USC § 103

4.      The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

5.      Claims 1, 2, 4, 5, 6,18, 19, 22, and 23 are rejected under 35 U.S.C. 103(a) as

being unpatentable over Kushner *et al.* (US 6,321,197) in view of Durlach *et al.* (US

5,828,997) in view Nagasaki (US 6,629,070).

As to claims 1 and 18, Kushner *et al.* teaches a voice region detection apparatus,

comprising:

a preprocessing unit for dividing an input voice signal into input frames

(see col.4, lines 6-7, segments acquisition window into frames.) comprised of a

sequence of elements having a number of runs (see Below mapping of

Nagasaki);

a frame state determination unit for classifying the frames into voice

frames and noise frames (see col. 4, lines 29-37, speech/noise classifier done by

microprocessor 110) based on the random parameters extracted by the random

parameter extraction unit; and

a voice region detection unit  (see col. 5, lines 9-14, microprocessor 110)

determines the starting point and ending point of the speech utterance.) for

detecting a voice region by calculating start (see col. 6, lines 8-9 and Figure 2,

microprocessor 110 determines the starting point and ending point of the speech

utterance) and end positions of a voice based (see col. 6, lines 65-66 and Figure

2, endpoint is determined) on the voice and noise frames input from the frame

state determination unit (e.g. From the determination of a speech utterance the

voice regions are detected based on energy.).

However, Kushner *et al.* does not specifically teach the whitening unit for combining white noise to the input frames.

Durlach *et al.* does teach the whitening unit combining white noise to the input frames (see col. 5, lines 56-65 and Figure 2, target signals (speech) 50a, 50b, and 50n are added with the noise generator 60 by mixer 56). Although white noise is not used when adding to the target signals, it would have been obvious to add white noise to a signal or any other type of noise depending on environment simulated.

It would have been obvious to one of ordinary skilled in the art at the time the invention was made to have modified the voice recognition as taught by Kushner *et al.* with the addition of a whitening unit as taught by Durlach *et al.* The motivation to have combined the references involve the ability to incorporate the directionality of a signal for sound localization (see Durlach *et al.*, col. 5, lines 60-65) as would benefit the preprocessed signal from Kushner *et al.* for real-time environmental simulation.

However, Kushner *et al.* in view of Durlach *et al.* do not specifically teach the random parameter extraction unit.

Nagasaki does teach the random parameter extraction unit (see col. 6, lines 54-61 and col. 7, lines 1-5, thresholds used to determine whether voice is present or not present on the basis of energy) for extracting random parameters indicating the randomness of frames (see col. 6, lines 66-col. 7, lines 1-15 voice activity is detected based on the comparison to a threshold. The determination of

energy is random since it is not known whether the frame is voice or noise. Further, the randomness is addressed by indicating the noise or voice present in the signal).

wherein the random parameter extraction unit extracts a random parameter for a frame input from the whitening unit (see col. 6, lines 54-60, voice presence determined based on intensity of energy in analysis region) based on a determination of the number of runs in said frame (see col. 7, lines 10-15, the number of runs is the energy values at each analysis region, where the frame is divided into (col. 4, lines 45-51))

It would have been obvious to one of ordinary skilled in the art at the time the invention was made to have modified the voice recognition as taught by Kushner et al. in view of Durlach et al. with the addition of random parameter extraction unit as taught by Nagasaki for the purpose of accurately determining voice frames and to prevent pulse noise to be considered as voice (see Nagasaki, col. 2, lines 22-36).


As to claim 2 and 19, Kushner et al. in view of Durlach et al. in view of Nagasaki teach all of the limitations as in claims 1 and 18 above.

Furthermore, Kushner et al. teaches wherein the preprocessing unit samples the input voice signal according to a predetermined frequency (see col. 3, lines 66-col. 4, lines 10, digitize) and divides the sampled voice signal into a plurality of frames (see col. 4, lines 4-10, segmentation into frames is performed.)

(e.g. The digitization of the voice signal makes the use of sampling frequency obvious as the signal is sent to the microprocessor for further processing. It is obvious that this sampling frequency is utilizing the Nyquist criterion.)

As to claim 4 and 21, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki teach all of the limitations as in claims 1 and 18 above.

Furthermore, Durlach *et al.* teaches wherein the whitening unit comprises a white noise generation unit (see Figure 2, noise generator 60) for generating the white noise, and a signal synthesizing unit (see Figure 2, mixer 56) for combining the frames input from the preprocessing unit (see signals 50a, 50b, and 50n) with the white noise generated by the white noise generation unit (e.g. Noise is added to the target signal.).

As to claims 5 and 22, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki teach all of the limitations as in claim 1 and 18 above.

Furthermore, Durlach *et al.* does teach the whitening unit combining white noise to the input frames (see col. 5, lines 56-65 and Figure 2, target signals (speech) 50a, 50b, and 50n are added with the noise generator 60 by mixer 56).

Furthermore, Nagasaki does teach each of said runs consists of consecutive identical elements in the sequence of elements that comprise the frame (see col. 7, lines 10-15, energy values are identically computed for each subframe).

As to claims 6 and 23, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki teach all of the limitations as in claim 1 and 18 above.

Furthermore, Nagasaki does teach wherein the random parameter is :

NR=R/n, where NR is a random parameter of a frame, n is a half of the length of the frame, and  is the number of runs in the frame (see col. 7, lines 11-14, where the number of runs, specifically the cumulative sum is totaled (of four runs) and divided by the total number of sub-frames to determine the voice presence (4 sub-frames).).

6.      Claims 3 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki as applied to claims 2 and 19 above, and further in view of Mekuria (US 6,182,035).

As to claims 3 and 20, Kushner *et al.*  in view of Durlach *et al.* in view of Nagasaki teach all of the limitations as in claims 2 and 19 above.

However, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki do not specifically teach the frames overlapping with one another.

Mekuria does teach the overlapping of frames (see col. 8, lines 28-29).

It would have been obvious to one of ordinary skilled in the art at the time the invention was made to have combined voice recognition as taught by Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki with the overlapping of frames as taught by Mekuria. The motivation to have combined the references

involves the use of samples in more than one frame (see Mekuria col. 8, lines 28-29).

7.      Claims 7-9 and 24-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki as applied to claims 1 and 18 above, and further in view of Pastor (US 5,572,623).

As to claims 7 and 24, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki teach all of the limitations as in claims 1 and 18, above.

However, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki do not specifically teach the voice frames including vocal frames and fricative frames.

Pastor does teach the frames including vocal and fricative frames (see col. 4, lines 66-67 and col. 5, lines 5-14).

It would have been obvious to one of ordinary skilled in the art at the time the invention was made to have combined the voice recognition as taught by Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki with the inclusion of fricative frames as taught by Pastor. The motivation to have combined the references involves the inclusion of fricatives that are present in at the start and end of speech (see Pastor col. 1, lines 29-33).

As to claims 8, 9, 25, and 26, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki in view of Pastor teach all of the limitations as in claims 7 and 24, above.

Furthermore, Nagasaki teaches wherein the frame state determination unit (e.g. voice activity detector) determines if the random parameter of a frame extracted by is below a first threshold (see col. 7, lines 2-14, voice activity is detected based on the comparison to a threshold.) The determination of energy is random since it is not known whether the frame is voice or noise.) then it is a vocal frame (e.g. If the noise is below the value of the threshold, then speech is present or vocal frame. The use of a specific threshold would have been obvious to one skilled in the art in order to distinguish voice from noise. Hence, the use of below or above a threshold is matter of design choice and relativity. The Applicants do not indicate reasons for selecting the stated thresholds (see Applicant's Specification, page 11, lines 17-21).

8.      Claims 10, 11, 27, and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki in view of Pastor as applied to claims 8 and 25 above, and further in view of Chong-White *et al.* (US 7,065,485).

As to claims 10, 11, 27, and 28, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki in view of Pastor teach all of the limitations as in claims 8 and 25, above.

However, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki in view of Pastor do not specifically teach if the random parameter of a frame

extracted by the random parameter extraction unit is above a second threshold, the relevant frame is a fricative frame.

Chong-White *et al.* does teach if the random parameter of a frame extracted by the random parameter extraction unit (see col. 7, lines 22-25, energy ratio computed, similar to Nagasaki) is above a second threshold (see col. 7, lines 46-47, fricatives identified when above a threshold), the relevant frame is a fricative frame. As to claims 11 and 28, it would have been obvious to select a threshold value for comparing different types of values of a signal with respect to a ratio.

It would have been obvious to one of ordinary skilled in the art at the time the invention was made to have combined the voice recognition as taught by Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki in view of Pastor with the inclusion of a threshold indicating a fricative as taught by Chong-White *et al.* The motivation to have combined the references involves the ability to detect further unvoiced components in a signal consisting of speech and non-speech. Furthermore, the use of the voice recognition as taught by Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki in view of Pastor allows the ability to detect noise, voice and fricatives contained in the signal.

As to claims 12, 13, 29, and 30, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki in view of Pastor in view of Chong-White teach all of the limitations as in claims 8 and 25, above.

Furthermore, Nagasaki teaches wherein the frame state determination unit determines that if the random parameter of the frame extracted by the random parameter extraction unit is below the second threshold, the relevant frame is a noise frame (see col. 7, lines 2-14, voice activity is detected based on the comparison to a threshold. The determination of energy is random since it is not known whether the frame is voice or noise).

However, Nagasaki does not specifically teach the use of two thresholds for comparison.

It would have been obvious to use multiple thresholds for classifying each frame so that the detection of voice and fricative frames can be detected as taught by Chong-White above in order to improve detection accuracy. Further, the values for the thresholds used are a matter of design choice based on the thresholds computed.

9.      Claims 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki as applied to claim 1 above, and further in view of Rezayee *et al.* ("An Adaptive KLT Approach for Speech Enhancement").

As to claim 14, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki teach all of the limitations as in claim 1, above.

However, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki do not specifically teach a color noise elimination unit for eliminating color noise from voice.

Rezayee *et al.* teaches the enhancement of speech from colored noise (see Abstract).

It would have been obvious to one of ordinary skilled in the art to have combined the voice recognition as taught by Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki with the inclusion of a color noise eliminator as taught by Rezayee *et al.* the motivation to have combined the references is since colored noise consist of various noise variances and is not the same as white noise, which has same variance (see Rezayee *et al.* page 87, right column, 3$^{rd}$ paragraph, lines 12-17).

10.    Claims 15 and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki in view of Pastor in view of Chong-White *et al.* (US 7,065,485) as applied to claims 10 and 27, above and further in view of Rezayee *et al.* ("An Adaptive KLT Approach for Speech Enhancement").

As to claims 15 and 31, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki in view of Pastor in view of Chong-White *et al.* teach all of the limitations as in claim 1, above.

However, Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki in view of Pastor in view of Chong-White *et al.* do not specifically teach a color noise elimination unit for eliminating color noise from voice.

Rezayee *et al.* teaches the enhancement of speech from colored noise (see Abstract).

It would have been obvious to one of ordinary skilled in the art to have combined the voice recognition as taught by Kushner *et al.* in view of Durlach *et al.* in view of Nagasaki in view of Chong-White with the inclusion of a color noise eliminator as taught by Rezayee *et al.* the motivation to have combined the references is since colored noise consist of various noise variances and is not the same as white noise, which has same variance (see Rezayee *et al.* page 87, right column, 3$^{rd}$ paragraph, lines 12-17). Furthermore, it should be noted that the following elimination of colored noise is being done when speech is present. Hence, the detection of a vocal frame will entail speech is present and further enhance the signal from colored noise.

### Allowable Subject Matter

11.    Claims 16, 17, 32, and 33 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

12.    The following is a statement of reasons for the indication of allowable subject matter:    None of the prior art alone or in combination teaches the following limitations:

"color noise ... obtained... amount of reduction in the random parameter...due to color noise" as recited in claims 16, 17, 32, and 33.


*Conclusion*

13.    **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action. .

Any inquiry concerning this communication or earlier communications from the examiner should be directed to PARAS SHAH whose telephone number is (571)270-1650. The examiner can normally be reached on MON.-THURS. 7:00a.m.-4:00p.m. EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Hudspeth can be reached on (571)272-7843. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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                                              /David R Hudspeth/
                                              Supervisory Patent Examiner, Art Unit 2626

/Paras  Shah/
Examiner, Art Unit 2626

04/02/2009